



SEP 15 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

**IRS** Institute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.	
<b>TRADEMAILED</b> <b>Institute for form 1449/PTO</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	
<b>Complete if Known</b>	
Application Number	10/827485
Filing Date	Apr 19, 2004
First Named Inventor	Makarand P. Gore
Art Unit	
Examiner Name	unassigned
Attorney Docket Number	200315628-1
Sheet 1	of 1

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (if known)			
/S.H./		EP 0 760 237	03-05-1997	CIPLA Ltd.	
		WO 01/32143	05-10-2001	CIPLA Ltd.	
↓		WO 99/56727	11-11-1999	Elan Corp	
		WO 03/000243	01-03-2003	Regents of Univ	
/S.H./		WO 93/02664	02-18-1993	Smith-Kline	

**Examiner  
Signature**

*(Susanna Hoffer)*

Date Considered

10/17/2007

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
200315628-1		
APPLICANT		
Makarand Gore		
FILING DATE	GROUP	
April 19, 2004		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
1A				
1B				
1C				
1D				
1E				
1F				
1G				
1H				
1I				
1J				
1K				

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L					
1M					
1N					
1O					
1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/S.H./	1Q	George Tsu-Ping Woo, "Formulation and Physicochemical Properties of Macro- and Microemulsions Prepared by Interfacial Ion-Pair Formation Between Amino Acids and Fatty Acids", The University of Texas at Austin, 1978, UMI Dissertation Services, Reg. No.: TX-2-789-091.
	1R	
	1S	

EXAMINER

/Susanna Hoffer/

DATE CONSIDERED

10/17/2007

## PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	200315628-1		
	APPLICANT		
	Makarand Gore	FILING DATE	GROUP
	April 19, 2004		

## REFERENCE DESIGNATION                    U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
/S.H./	1A	5,700,317	12-23-1997	Adamic	
/S.H./	1B	6,024,786	01-15-2000	Gore	
/S.H./	1C	6,102,996	08-15-2000	Parazak	
/S.H./	1D	6,432,183 B1	08-13-2002	Kabalnov	
/S.H./	1E	6,602,511 B2	08-05-2003	von Corswant	
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
/S.H./	1L	WO 01/32143	05-10-2001	CIPLA Ltd.		
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

/S.H./	1Q	George Tsu-Ping Woo, "Formulation and Physicochemical Properties of Macro- and Microemulsions Prepared by Interfacial Ion-Pair Formation Between Amino Acids and Fatty Acids", The University of Texas at Austin, 1978, UMI Dissertation Services, Reg. No.: TX-2-789-091.
	1R	
	1S	

EXAMINER

/Susanna Hoffer/

DATE CONSIDERED

10/17/2007